



COTTON UNIVERSITY

Panbazar, Guwahati-781001, Assam, India

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NOTIFICATION

The Cotton University Court in its 1st meeting held on 3rd May, 2019 vide its Res. No./2019/1/4 has accepted and approved "Cotton University Regulations for the Degree of Doctor of Philosophy (Ph.D.)".

It is notified for general information that "Cotton University Regulations for the Degree of Doctor of Philosophy (Ph.D.)" will come into force with immediate effect.

D.K. Das
22/7/19
(Prof. D.K. Das)
Registrar

Memo No. CU/REGOFF/2018/038/ 6881-93

Date: 22/7/19....

Copy for information to:

1. PS to Vice Chancellor for kind appraisal of Hon'ble Vice Chancellor.
2. Dean, Research & Development
3. Dean, Students' Welfare
4. Dean (i/c), Faculty of Life Sciences
5. Academic Registrar
6. Controller of Examinations
7. Director of Students' Welfare
8. All Heads of Departments
9. Librarian, SKB Library
10. Finance Officer
11. Computer System Manager, to upload the Notification and Regulation in the website.
12. Notice Board
13. Office File

D.K. Das
22/7/19
(Prof. D.K. Das)
Registrar

Note: Please visit CU website (About Us- University Acts, Rules) for the detail Rules & Regulations etc.

**COTTON UNIVERSITY
REGULATIONS FOR THE DEGREE OF
DOCTOR OF PHILOSOPHY (Ph.D.)**

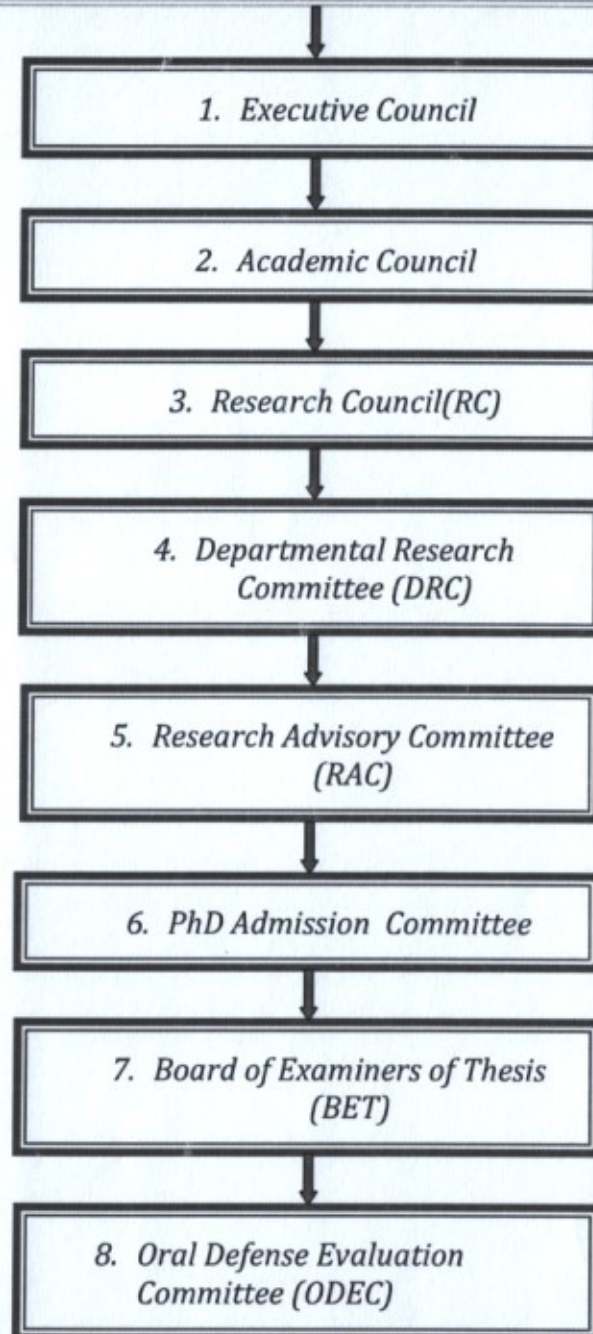


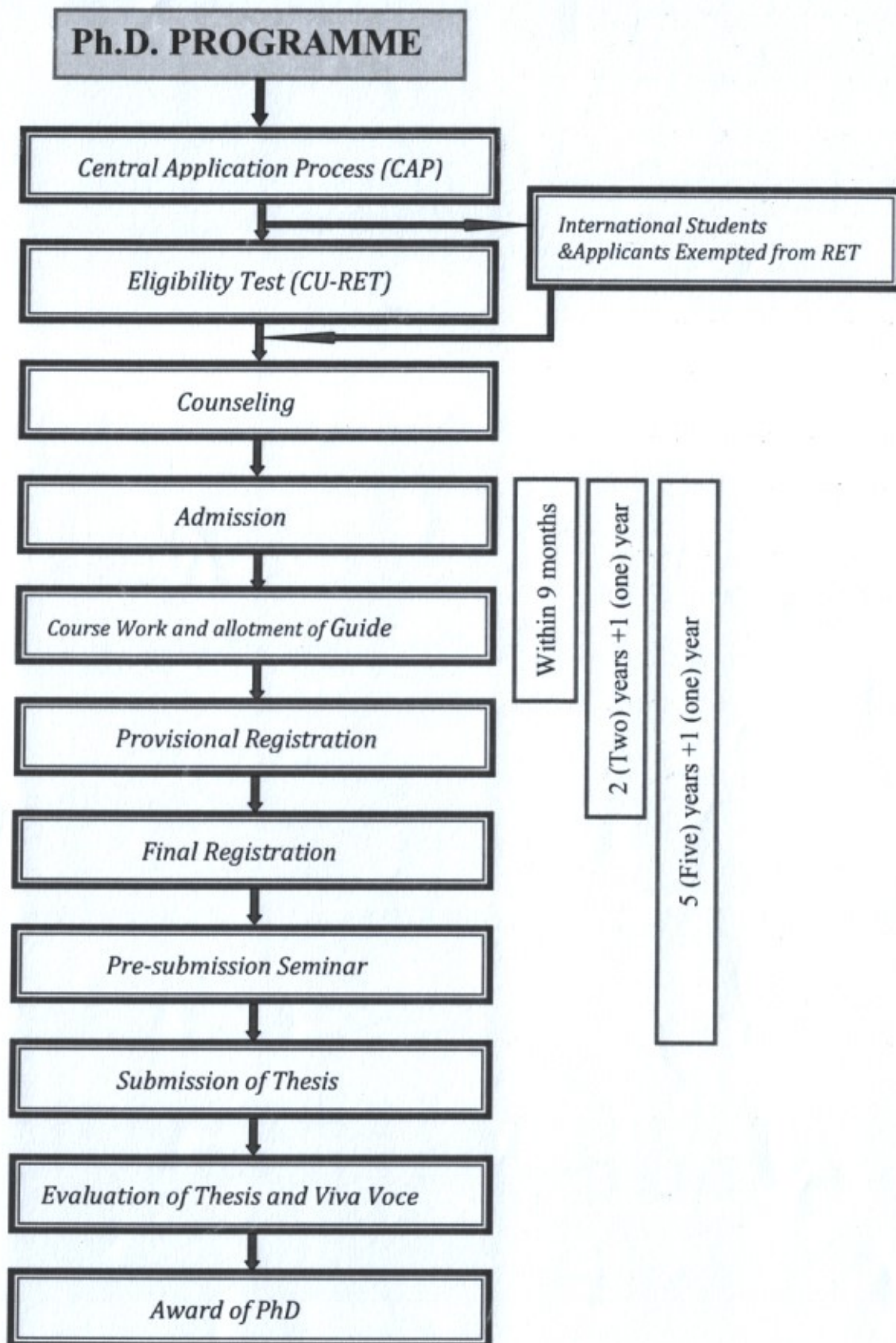
[Under Cotton University Act, 2017: Regulations section 44 (1)]

[Handwritten Signature]

Registrar
Cotton University
Panbazar, Guwahati-781001, Assam

Statutory Bodies and Committees






 Registrar
 Cotton University
 Panbazar, Guwahati-781001, Assam

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Introduction:

Cotton University is a public state university located in Guwahati, Assam, India. It was established under the Cotton University Act, 2017 of the Assam Legislative Assembly to merge the Cotton College State University (CCSU) and Cotton College. The Cotton University shall be known as an advance center of learning, research, and collaborative networking for the overall improvement of the social and economic conditions and cultural welfare of the people. It holds as its central purpose to engage students' community in creativity and intellectual and personal development through research, training and leadership.

Cotton University awards the degree of Doctor of Philosophy (PhD) in the five faculties of (i) Physical, chemical & Mathematical Sciences (ii) Life Sciences (iii) Humanity & Social sciences (iv) Language & Literature and Linguistics and (v) Earth Sciences to a candidate who has successfully completed the stipulated Programme of Research.

1. Constituted Bodies:**1.1 Research Council (RC):**

The University shall have a Research Council (RC) to run Research programme in the University with the following functions:

- (a) Shall frame and review all policy matters and regulations pertaining to research leading to PhD degrees.
- (b) Shall consider all recommendations of the respective Departmental Research Committees (DRC) with regard to various applications of the candidates as drafted in this PhD Regulation.
- (c) Shall scrutinize, modify, and approve the PhD Research proposals as recommended by the DRCs for registration.
- (d) Shall recognize/approve PhD Guides/Supervisors on the basis of recommendations of respective DRCs to that effect as provided in this Regulation.
- (e) Shall go through the Reports of the Examiners of the PhD Theses and recommend holding of Viva-Voce for the PhD candidates concerned.
- (f) Shall consider and forward to the Academic Council any issue/matter related to research for the latter's consideration/decision.

The Research Council of the University shall consist of the following persons:

1. The Vice-Chancellor - Chairperson
2. The Academic Registrar – Member Secretary

3. All Deans, all Professors & two senior teachers (who are recognized guides) of the University Departments nominated by VC
4. Two external members from other institutes nominated by the VC.

1.2 Departmental Research Committee (DRC):

There shall be a Departmental Research Committee (DRC) as mentioned below in each Department/Centre for Studies of the University:

1. Chairperson: Head of the Department (if he/she is a recognized guide). If HOD is not a recognized guide, either a senior teacher (who is also a recognized guide), or the concerned Dean shall be the chairperson.
2. PhD Guides/ Supervisors of the Department – Members
3. One Faculty member from related department within the university (VC's nominee)
4. One Faculty member from related department outside the university (VC's nominee)

With the change of Headship, the Chairperson will also change and there will be an official notification to that effect. The Chairperson shall take the following responsibilities:

- (i) Shall place all matters relating to research before the DRC for discussion and send the same reports to the Academic Registrar for implementation or to the Research Council for consideration.
- (ii) Shall also take necessary action on the procedure for admission, course-work, examination, submission of Thesis etc. as mentioned in this Regulation.

The term for external members of DRC shall be for a period of three years.

1.3 Research Advisory Committee (RAC):

There shall be a Research Advisory Committee to monitor the progress of the research students:

1. The Research Advisory Committee (RAC) will be a four members committee for each research scholar with the supervisor as the convener of the committee. One member of the RAC may be from a department other than that of the scholar. This will be subject to approval of the Departmental Research Council (DRC).
2. For scholars working at other Research Institutions registered at Cotton University at least one member should be from Cotton University.
3. This Committee shall have the following responsibilities:
 - (a) To review the research proposal and finalize the topic of research;

- (b) To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
- (c) To periodically review and assist in the progress of the research work of the research scholar.
- (d) A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be evaluated by the Research Advisory Committee and forwarded to the DRC with a copy to the research scholar.
- (e) In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the DRC and then to the Academic Registrar with specific reasons for cancellation of the registration of the research scholar.

1.4 Ph.D. Admission Committee:

The Ph.D. Admission Committee will consist of five senior faculty members (all members must be guide/supervisor) to be constituted by the Registrar after approval of V.C in consultation with Academic Registrar who will be the chairperson. This committee will coordinate with all the departments and will ensure that the UGC rules and regulations are followed during the written tests and interview for selection of candidates for Ph.D. programme. Ph.D. Admission Committee shall have to follow all admission rules laid down by this Regulations. The term for the members of the committee shall be for a maximum of three years.

1.5 Board of Examiners of Thesis (BET):

Board of Examiners for examination of a thesis shall consist of internal examiner(s) (normally all the supervisors) and two external examiners. The external examiners shall be chosen by the VC or by his representative (Academic Registrar) from a panel of eight members proposed and recommended by the supervisor. The supervisor(s) shall propose the panel of examiners at the time of submission of synopsis of the thesis.

1.6 Oral Defense Evaluation Committee (ODEC):

Oral defense evaluation is an essential component of the evaluation process. Oral Defense Evaluation Committee of a research student shall evaluate the performance on the Viva-voce examination or oral defense of the research work carried out by him/her. The committee shall consist of the internal examiner(s) and one of the external examiners of BET selected by VC

or his representative (Academic Registrar). If none of the external examiner(s) of BET is available for evaluation of oral defense, an alternative examiner shall be selected from the panel of examiners submitted by the supervisor(s).

2. Categories of students:

The University shall admit Ph. D. students under the following categories:

- (a) **Full Time:** Students under this category shall work full time for the Ph. D. courses and research works. They may apply for institutional fellowship/assistantship through the supervisor if and when available in the university.
- (b) **Sponsored:** UGC, government organizations like CSIR, DST, Recognized R & D organizations or industries may sponsor students/candidates from India and abroad. They shall be admitted through a selection process provided they satisfy the requisite qualification. They shall not be entitled to any other fellowship/assistantship from the University. They shall work full time for the Ph. D. course work. Such candidates need not appear for the entrance examination if they qualify for JRF in any national level examination such as UGC NET.
- (c) **Project Fellow:** Students working on different research projects at University may be admitted to the Ph. D. program provided they satisfy the eligibility criteria and qualify in the entrance, subject to the consent of the Principal Investigator of the project.
- (d) **Part Time:** Students/candidates employed in academic institutions/organizations (including Cotton University) may be considered for admission in to the Ph. D. programme, following the normal admission procedures. They will have to fulfill the stipulated requirements for Ph. D. admission. Such candidates will have to produce No Objection Certificate (NOC) from the employer at the time of admission.

3. Supervisor:

3.1 **Supervisor:** The supervisor is the regular faculty member of the University who supervises the research work carried out by the student/candidate for Ph. D.

3.2 **Co-Guide/Supervisor:** Any regular faculty member of the University who is already recognized as supervisor or a recognized PhD Guide of another recognized University with well established research credentials may work as a co-supervisor subject to the following conditions:

- (i) When the scholar is pursuing research programme on a topic with inter-disciplinary ramifications.
- (ii) When the supervisor is on leave or indisposed and unable to supervise the progress of research.

In this case after scrutinizing the research proposal of the candidate and causes of necessity of Co Guide/Co-Supervisor, DRC will forward to RC for final decision.

(iii) A retired professor who is reappointed by Cotton University as a faculty member can be a co-supervisor and work jointly with a faculty member (who is a recognized guide) of the Department.

3.3 The maximum limit for supervising Ph.D. students as Supervisors/Co-Supervisors at a time shall be as follows:

- (i) Professor: 8 (Eight)
- (ii) Associate Professor: 6 (Six)
- (iii) Assistant Professor: 4 (Four)

4. REGULATIONS:

4.1 Eligibility/Admission Requirements:

Admission to the PhD Programme shall be done preferably in the beginning of the academic year. Any person with at least 55% marks or equivalent Grade (50% marks or equivalent Grade in case of SC/ST candidates) in a Master's Degree from any University recognized by the UGC and in any subject recognized by the University may apply for admission to the PhD programme.

Candidates who have received UGC/CSIR- JRF or have qualified in SET or other equivalent examinations may be exempted from appearing in the entrance test. However such candidates have to appear in the interview for admission to PhD programme.

4.2 Admission Procedure:

- (a) The application for admission has to be made in the prescribed form available online or obtainable from the office of the Academic Registrar on payment of prescribed fees.
- (b) Candidates intending to pursue research programme leading to PhD Degree shall have to appear in an Entrance Test which shall be centrally conducted every year. The Test shall comprise written test and viva-voce.
- (c) Admission to the course shall be made after obtaining clearance from the Research Council on the basis of the result of the Entrance Test and viva-voce.
- (d) The eligible candidates shall have to take admission in the Department for a compulsory one-semester (6 months duration) pre-registration course work as devised by the concerned DRC and approved by the Research Council.
- (e) The Departmental Research Committee concerned shall obtain approval of the Research Council on allocation of approved Guide/Supervisor(s) to each scholar based on the area of interest of the Guide/Supervisor as well as the candidate at the time of registration.
- (f) The number of scholars to be admitted to PhD course in a particular session and number of scholars to be allotted to a Guide/Supervisor shall also be decided by the DRC after obtaining approval from the Research Council.
- (g) Institutional candidates serving in any organization shall be required to submit a no objection certificate (NOC) from his/her employer at the time of admission failing which their case shall not be considered.

- (h) Foreign nationals fulfilling the eligibility criteria may be registered for Ph.D. over and above the maximum admissible strength. However, at any given time, the total number of foreign students shall not exceed 10% of the total admissible strength of the Department. Foreign nationals must provide evidence of language competence suited to the Department to which they wish to join. Foreign nationals are exempted from entrance test and interview. They may be admitted based on their research proposal, subject to fulfilling the minimum eligibility criteria. The decision regarding the same will be finalised by RC subject to the recommendation from DRC.

4.3 Course Work:

- (a) After being admitted, a scholar shall have to undertake a compulsory one semester (six months) course-work. The Syllabus for the Course Work will be provided by the Academic Registrar.
- (b) A scholar must attend minimum of 75% attendance during the course-work.
- (c) A candidate must secure 50% of marks or equivalent Grade in individual paper of the course-work examination to be successful. In case a candidate cannot complete the course-work or is unsuccessful in the examination, he/she will have to repeat and clear the course-work within next year failing which his/her admission to the programme shall be summarily rejected.

4.4 Registration:

4.4.1 Provisional Registration:

- (a) After successful completion of the course-work, the scholar shall apply for Provisional Registration in the prescribed format obtainable from the office of the Academic Registrar by paying necessary fees.
- (b) Along with the application for Provisional registration the scholar shall submit in Triplicate a synopsis of the proposed research work duly authenticated and forwarded by his/her Guide/Supervisor.
- (c) The synopsis should include the research topic, scope of the study, tentative hypothesis, research methodology including sampling and design where necessary, review of literature etc.
- (d) The DRC shall, after due consideration, forward the research proposal to the Research Council for final decision on registration.
- (e) The DRC shall review the synopses submitted by the candidates and will recommend the proposal for Provisional registration if satisfied with the content.

- (f) The candidates must revise the synopsis and submit the modified form if any modification in the research proposal is suggested by DRC.
- (g) The date on which the scholar takes admission shall be the date of Registration of the scholar.

However, the maximum permissible period between the date of Admission and that of Provisional registration shall be 9 (nine) months. Under no circumstances a candidate may be allowed more than 2 (two) chances to clear the course work.

4.4.2 Final Registration:

The process of Final Registration begins with a Final Registration Seminar, which the PhD scholar must give before the RAC at the concerned department. The scholars from Sister Institutes and Colleges must give this seminar at the parent department to which the scholar belongs. The Final Registration Seminar is an open seminar which will be notified at the departmental level, a copy of which will be forwarded to the Academic Registrar for record.

- (a) Candidate provisionally registered for Ph.D. Degree shall be required to apply for final registration in the prescribed 'Form' within 24 (twenty four) months from the date of admission but not before 18 months from the date of admission.
- (b) The candidate has to present a seminar before the RAC of the Department to describe the progress made in the area of the research. If the RAC is satisfied with the progress it will recommend the topic for final registration. RAC can also suggest modification of the work if it is not satisfied and advise the candidate for a modified presentation. The application for final registration must accompany a recommendation certificate from RAC along with necessary fees.
- (c) Candidates failing to apply for final registration within 24 (twenty four) months from the date of admission, on the recommendation of the RAC, may be allowed to apply for final registration within 36 (thirty six) months from the date of admission on payment of 'Late Registration Fee' at the prescribed rate.
- (d) If a candidate does not apply for the final registration within the 36 (thirty six) month period, her/his provisional registration stands cancelled. However, she/he may be allowed re-admission in the PhD programme bypassing the otherwise mandatory admission test.

4.4.3 Validity & Re-registration:

- (a) Normally, a scholar's registration for PhD programme remains valid for a period of 5(five) years from the date of Admission.

- (b) A scholar may be submit the thesis after completion of 3 (three) years of research from the date of Admission, but not later than 5 (five) years.
- (c) If, however, a scholar fails to submit the thesis within 5 (five) years from the date of admission, he/she may be allowed an extension of 1 (one) year by the DRC with the permission of the Vice- Chancellor.
- (d) For extension of validity of his/her registration, a scholar shall be required to apply in the prescribed format through the Supervisor justifying the need thereof and by paying required fee as shall be notified by the University.
- (e) The Registration of the scholar shall stand cancelled after 6 (six) years from the date of admission and his/her name shall be struck off from the PhD Rolls and he/she shall not be allowed to continue the work any further.
- (f) If a candidate fails to submit the thesis within the extended period, he/she may apply for re-registration in the same topic/title. His/her case shall be sent to the Academic Registrar provided the concerned DRC recommends for re-registration. In such cases the thesis shall be submitted not later than 2 (two) years from the date of re-registration failing which his/her registration shall stand cancelled.

4.5 Modification of Title:

On the recommendation of concerned DRC a scholar may be allowed to modify the title, provided it does not alter the basic thrust or contention of the original research proposal. However, the modification shall be allowed before his/her final registration. For this, she/he has to apply to the concerned DRC with recommendations of the Guide/ Supervisor.

4.6 Languages:

The thesis shall be written in English (Roman Scripts) in all subjects. However, in the language subjects the thesis may be written in English or in the language concerned if approved by the Academic Council. However, in that case two copies of theses written in English must be submitted to the Academic Registrar for evaluation.

4.7 Prerequisites for submission of Thesis:

Prior to the submission of the thesis, each registered scholar shall have to fulfill certain conditions as mentioned below:

(A) Pre-Submission Seminars:

- (i) Every scholar shall be required during the tenure of his/her research to present at least one seminar before the members of RAC. The feedback and suggestions of the

RAC should be suitably incorporated into the thesis under the advice of the Guide/Supervisor. The report of the seminar should be submitted to the Chairman of the DRC who will issue an authentication certificate to be produced during pre-submission seminar.

- (ii) The scholar shall also be required to present his/her research findings in a pre-submission Seminar when his/her Guide/Supervisor is of the opinion that the thesis is in the final stage for submission, preferably 3(three) months before submission. This presentation shall be made before the members of the DRC. The presence of the Dean of the faculty or his representative during the presentation shall be ensured. The candidate shall follow the recommendations of the Committee and incorporate these recommendations in his/her thesis.

The Chairman of the DRC shall submit a compliance certificate to the Academic Registrar for records.

(B) Publication:

Before submission of the thesis every scholar shall have to publish minimum 2 (two) research papers on his/her work in standard peer reviewed/refereed research journals, at least one of which must be included in Scopus, Web of Science or other similar standard indexing data base and will have to produce evidence to that in the form of re-print or acceptance letter to the Chairperson of concerned DRC.

(C) Abstract:

After acceptance of pre-submission seminar, the candidate shall apply to the Academic Registrar for submission of Thesis along with 3(three) copies of the Abstract (not exceeding 1500 words) through the Supervisor. The application for submission of thesis shall be countersigned by the Supervisor and the Head of the concerned Department.

(D) Plagiarism Test:

It is mandatory to test for Plagiarism in the thesis by using standard software which is available in the Central Library. The guidelines of the UGC regarding plagiarism limit will be applicable.

4.8 Guidelines for preparing the PhD thesis:

Thesis shall be written in recommended template supplied by Academic Registrar which will be available in University website. The template should be as suggested below:

1. The thesis should be typed on both sides of A4 size paper in 1.5 spaces with a font size of 12 point.
2. There should be a margin of 3 cm in all sides.
3. The maximum word limit should be 90,000.
4. The colour of the cover page should be as suggested below:

Faculty	Cover Color of the Thesis	Font colour	Paper Size	Font size
Physical chemical, and mathematical Sciences	Dark Cherry	White	A4	12
Life Sciences	Black	White	A4	12
Humanity and Social sciences	Blue	White	A4	12
Languages, Literature and Linguistics	Sky Blue	Black	A4	12
Earth Sciences	Green	White	A4	12

5. The cover page of the thesis should contain the title, degree, year, faculty, University logo and the name of the concerned scholar
6. At the beginning of the contents of thesis, the thesis must contain a certificate from the guide(s) stating that
 - (a) The scholar has fulfilled all requirements under the PhD regulations.
 - (b) The thesis is the result of the scholars own investigations.
 - (c) The scholar has incorporated the recommendations/suggestions, if any, made during the Pre-Submission Seminar.
7. The thesis should also contain a declaration from the scholar stating that no part of the thesis has been submitted to any other institution/university for any degree or diploma.

4.9 Submission of Thesis:

- (a) Along with plagiarism test certificate of the thesis, candidate shall submit 3 (three) printed copies of the thesis in the office of the Academic Registrar within three (3) months from the date of submission of the abstracts in the prescribed format along with requisite amount of fees.
- (b) The candidate may incorporate the text of any work which he may have published on the subject. But he shall not submit in the thesis any work for which a Degree has been conferred on him/her by this or any other University.

- (c) The thesis shall satisfy that it is a piece of original research work characterized either by discovery/invention of new facts or by fresh interpretation of facts or theories.
- (d) The thesis shall include a certificate from the Guide/Supervisor and the declaration from the candidate that the thesis incorporates the scholar's bonafide researches and that these have not been submitted for award of any degree in this or any other University or Institute of learning.
- (e) The Academic Registrar, after verifying all records/testimonials shall send the thesis to the Examiners approved by the Vice-Chancellor.
- (f) After the declaration of result with permission of the Vice-Chancellor, the candidate shall have to submit two (two) hard copies of the Thesis to the office of the Academic Registrar from which one copy will be sent to the University Library for preservation and 2 (two) soft copies (in the CD form) which the Academic Registrar shall forward to digital repository for upload in INFLIBNET.

4.10 Appointment of Examiners:

- (a) The thesis shall be examined by a Board of Examiners of Thesis (BET) consisting of 2 (two) external Examiners and the Guide/Supervisor.
- (b) For choosing the external Examiners, the concerned Guide/Supervisor of the scholar shall prepare a list of not less than eight (8) Experts with full address, designation, and broad area of specialization, besides the Guide/Supervisor(s). This should be done at the stage of submission of the Abstract copies by the scholar. It is mandatory that at least 3/4th of the examiners should be chosen from outside the state.
- (c) The list of the examiners must not contain the names of any recognized PhD Guide/Supervisors of the University or of any person from the institution where the Guide/Supervisor/Co-supervisor is working.
- (d) The Academic Registrar shall send the Abstracts to the Examiners as approved by the Vice-Chancellor as a first step towards the examination of the thesis.

4.11 Evaluation of Thesis:

The thesis shall be examined in two parts: (a) Written Comments by the appointed Examiners on the thesis submitted, and (b) Viva-Voce.

(A) Written Comments:

- (a) While giving detailed comments on the thesis, the Examiners shall recommend that in their opinion – either (i) the thesis be accepted, or (ii) the thesis be rejected, or (iii) it must be revised and resubmitted.

- (b) The Examiner shall also state whether the thesis is worthy of publication or not. If not, the reasons will be clearly stated by him/her. This recommendation, however, is necessary only for publication of the thesis. In case the thesis is not recommended for publication, this will not have any effect on the award of the degree.
- (c) In case the Examiner suggests revision, he/she shall indicate the lines along which the thesis should be revised. For such revision, the candidate shall be provided with the report of the examiner/examiners who have recommended revision of the Thesis.
- (d) In case of rejection of a thesis, detailed reasons for rejection shall be given by the Examiner(s).
- (e) In case one Examiner suggests revision and resubmission while the other examiners accept the thesis, the candidate shall be asked to resubmit the thesis on payment of half the prescribed examination fees at least after 2 (two) months in the light of the suggestions made by the Examiner concerned. The revised thesis will be referred to the same Examiner who had suggested revision.
- (f) If one of the examiners recommends rejection, then the thesis shall be referred to a third external Examiner from the panel. In that case the candidate will have to pay half of the prescribed examination fees. If this Examiner also recommends rejection, the thesis shall be rejected.
- (g) If the 4th Examiner recommends the thesis, the report of the recommendation will be considered along with the other reports already received and will be processed for holding Viva-Voce.
- (h) If the thesis is rejected by any two examiners, it shall be summarily rejected.
- (i) If the thesis is recommended for PhD degree unanimously by all the Examiners, it will be processed for holding Viva-Voce.

(B) Viva-Voce:

- (a) After the Thesis has been recommended by all the Examiners for award of PhD degree, the report of the Examiners will be made available to the Supervisor(s) and Head of the Department by the Academic Registrar and the scholar will have to appear at a Viva-Voce.
- (b) Candidates shall be required to pay Viva-Voce fee for appearing the Viva-Voce at the prescribed rate on or before the date of the said examination.
- (c) The Viva-voce will be conducted by Oral Defense Evaluation Committee (ODEC) as already mentioned in previous section. The viva voce must be an open seminar held in the University premises on working days/hours.

- (d) The ODEC shall submit a combined report in writing. In the event of absence of unanimity amongst the members of the Viva-Voce Board with regard to the award of the PhD degree, the majority decision shall prevail.
- (e) Provided that in case of rejection by the majority, the candidate shall be required to appear again for the Viva-Voce test after 3 (three) months but not later than six months.

4.12 Result & Issue of Certificate:

- (a) The Report of the Viva-Voce Test and the Examiners' report shall be forwarded by the Chairperson of the DRC to the Academic Registrar who will forward the report to the Executive Council for approval.
- (b) The EC shall approve the award of the Degree of Doctor of Philosophy (PhD) in the EC meeting and shall allow the candidate's name to be published with the title of the thesis, name of the Guide/Supervisor(s), name of the Faculty and Department to which the thesis belongs. The Vice Chancellor may be empowered to notify names of PhD awardees at the end of every month with an *ex post facto* approval in the next meeting of the EC.
- (c) A Provisional Certificate shall be issued to the successful candidates certifying that the Degree has been awarded in accordance with the provision of the UGC Regulations 2009 and its amendments time to time.

4.13 Copyright:

Any PhD thesis submitted to Cotton University for the award of the PhD degree is a property of Cotton University and the copyright belongs to Cotton University.

4.14 Publication of thesis:

A scholar, after receiving the PhD degree may choose to publish her/his thesis if the thesis is recommended for publication by any two examiners.

A scholar should apply to the Academic Registrar seeking permission to publish the thesis.

4.14 Eligibility and Allotment of Guide/Supervisor:

Upon the recommendation of the DRCs and subject to the approval of the Academic Council, the Research Council may declare any person eligible to be Guide/Supervisors for PhD programme relating to respective areas of specialization:

- (a) A regular teacher of the University Departments with a PhD degree having minimum of 2 (two) publications in any peer-reviewed/refereed journal after obtaining the PhD degree shall be recognized as Research Supervisor.
- (b) A regular Research Scientist or equivalent, having PhD degree as evidenced by extensive research work (having minimum of 3 (three) publications in peer-reviewed/refereed journals after obtaining PhD degree), of a recognized State/Regional/National level institute, also recognized by this University as Research Center, may be recognized as Research Supervisor.
- (c) Any recognition as provided here would entail that the person shall apply for recognition to the Academic Registrar in proper format online which will be advertised in University Website from time to time. The Research Council will scrutinize all applications of individuals and have a right to accept or reject their candidatures.
- (d) No faculty member shall be recognized as Supervisor/Co-supervisor during his/her probation period. Also, no Guest Faculty and Contractual Faculty shall be appointed as Supervisor/Co-supervisor.
- (e) If a Supervisor leaves the University or becomes unable to supervise the student due to serious illness or any other reason, one permanent faculty member (who is recognized as Guide) in concerned department/centre shall be appointed as the Ph. D. co-supervisor on written request of the concerned student with consent of the Supervisor.
- (f) If a supervisor leaves the University permanently from service she/he shall continue to supervise the student(s) till she/he/they complete the work. However, the student(s) can apply to the DRC for a Co-supervisor with the consent of the Supervisor.
- (g) Under no circumstances a Ph. D. candidate shall have more than two supervisors including a Co-supervisor.
- (h) In case the Supervisor or the Co-supervisor is not in a position to continue supervision due to prolonged illness or other problems (in such a case she/he shall communicate her/his inability to the DRC) or factors beyond his/her control, the DRC may be communicated/recommended to Academic Registrar for appointment of a new Supervisor or a Co-supervisor on receiving an application from the student concerned.
- (i) No Guide/Supervisor shall be permitted to register PhD scholars beyond the age of superannuation.

- (j) Retired faculty members may be allowed to continue up to completion the Research work of the allotted scholars. Also retired faculty members with running research project may be permitted to guide the Ph.D. students up to completion of his project/completion the Research work of the allotted scholars.
- (k) No recognized Guide/Supervisor shall be allowed to supervise the PhD programme of any near relative.

4.15 Change of Guide/Supervisor:

The change of Guide/Supervisor shall be permitted only in exceptional cases like death, state of being incapacitated due to illness, mental disabilities, removal from the job and the like decided by the Research Council upon the recommendation of the DRC. In such cases the contribution of the original superior shall have to be recognized/ acknowledged.

4.16 Cancellation of PhD Studentship:

The PhD studentship is liable to be cancelled from registration of PhD course for any of the following reasons:

- (a) Giving false information at the time of application/admission.
- (b) Not conforming to the regulations of the programme.
- (c) Failure in coursework requirement.
- (d) Failure in Comprehensive Examination.
- (e) Consistent lack of progress in research.
- (f) Violation of discipline and conduct rules of the University.
- (g) Not submitting a thesis within the stipulated period
- (h) Remaining absent for more than 6 (six) weeks in a semester, without sanctioned leave.

4.17 Removal of Difficulties:

For the matters not mentioned/incorporated in the above Regulations or when a change in the Regulations is felt necessary or imposed by UGC, the Vice-Chancellor may constitute a new Review Committee to suggest necessary amendments time to time which must be approved by the Research Council/Academic Council.
